

# CP violation in charm decays at LHCb

Giulia Tuci

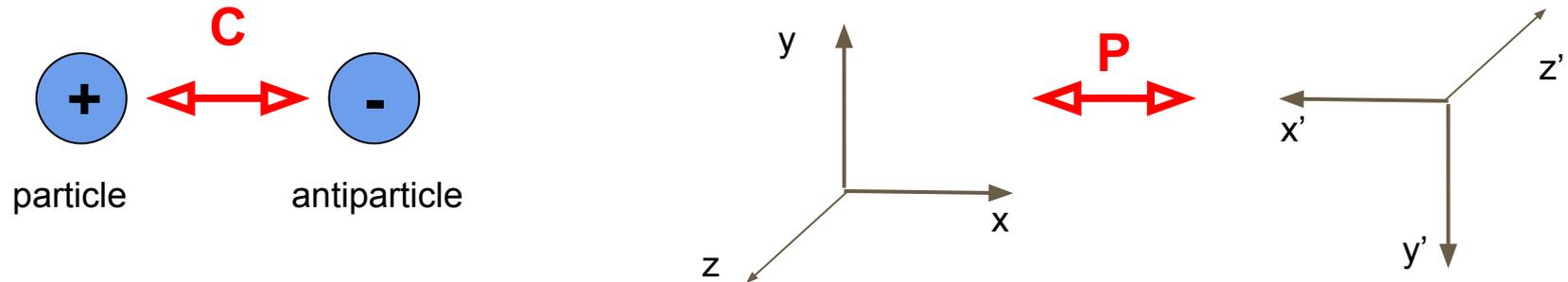
Supervisor: Prof. Giovanni Punzi



UNIVERSITÀ DI PISA

# CP violation

- ❖ CP violation (CPV): non-invariance of physics law after the combined transformation of charge conjugation (C) and parity (P)



- ❖ In the Standard Model, CPV is described by a complex phase  $\delta$  in the CKM matrix (interaction between quark and  $W^\pm$ )

$$\mathcal{L}_{int}^{CC} = -\frac{g_2}{\sqrt{2}} (\bar{u}_L, \bar{c}_L, \bar{t}_L) \gamma^\mu V_{CKM} \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix} W_\mu^\dagger + h.c.$$

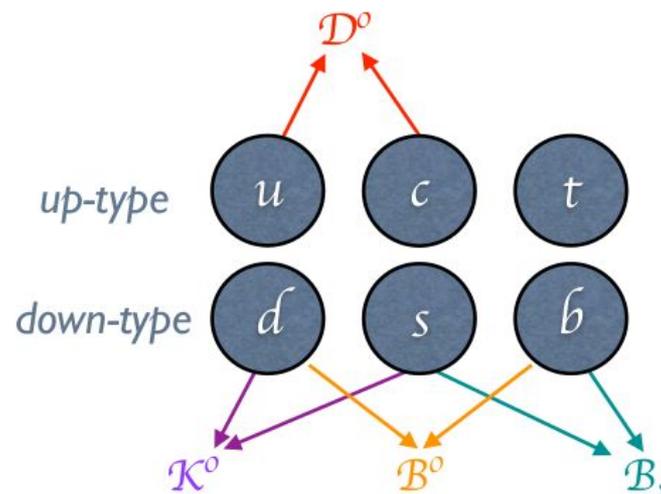
$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

3 real parameters and 1 complex phase  $\delta$

# CPV in charm

❖ Charm transitions are a unique portal for obtaining a novel access to flavor dynamics

- there might exist some New Physics coupling only to up-type quarks
- expected CPV in charm  $\lesssim 10^{-3}$  → difficult to observe it experimentally



❖ **Finally CPV in charm has been observed!**

- Observed by LHCb collaboration this year studying more than 60 million of  $D^0 \rightarrow K^+K^-$  and  $D^0 \rightarrow \pi^+\pi^-$  decays
- $A^{\text{CP}} = (18.2 \pm 3.2 \text{ (stat.)} \pm 0.9 \text{ (syst.)}) \times 10^{-4}$  [PRL 122 \(2019\) 211803](#)

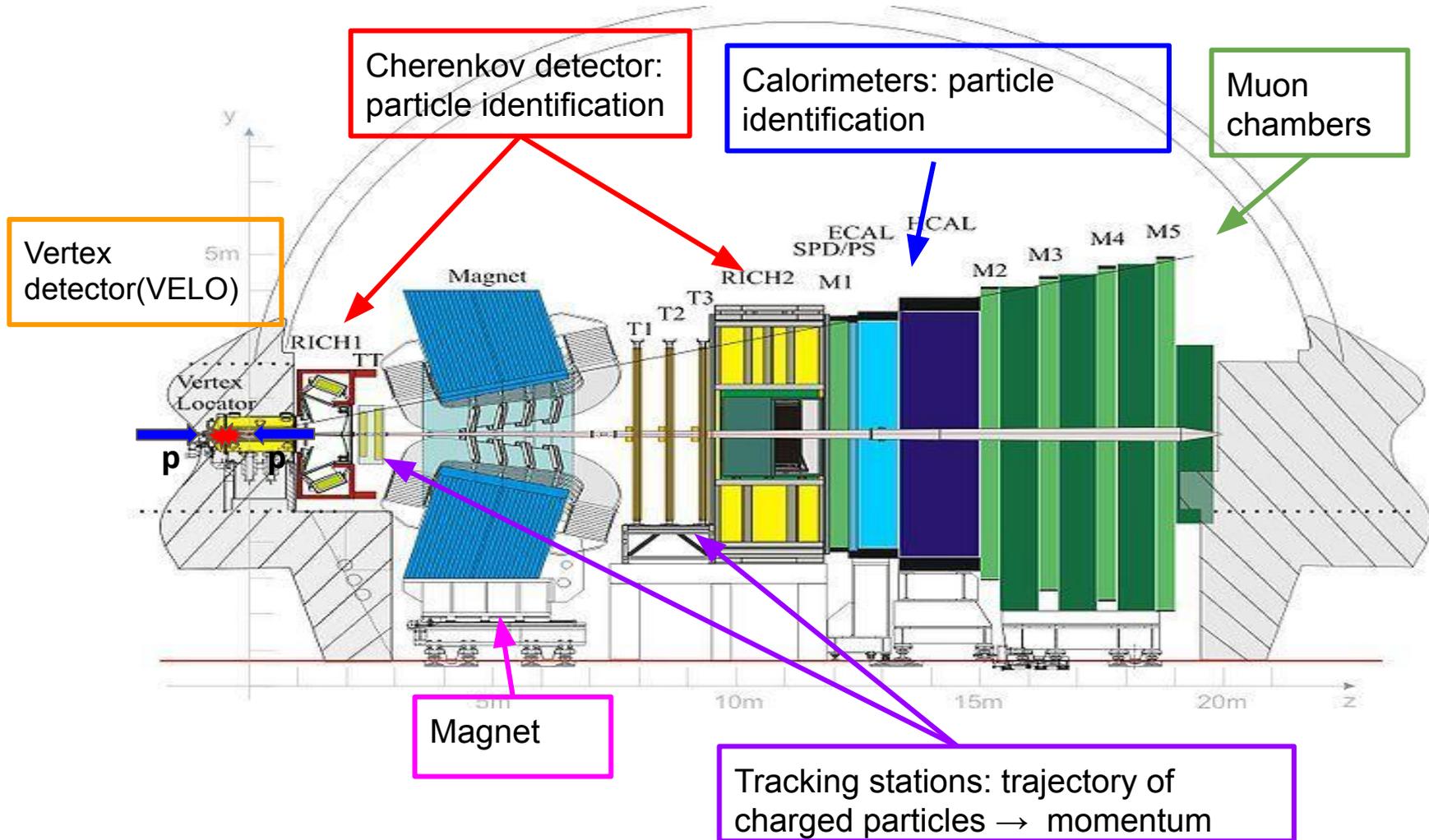
❖ Now it's time to start a systematic exploration of all the charm hadrons decay channels to do a quantitative study of CPV

# Thesis goal

- ❖ **Expanding the phenomenology of charm CPV with new measurements, both from current data and near future**
- ❖ CPV in  $D^0 \rightarrow K_S^0 K_S^0$  decays using 2017 and 2018 data collected by LHCb
- ❖ Development of an innovative hardware tracking device aimed at collecting even larger and better samples in the upcoming Run 3 (starting in 2021)

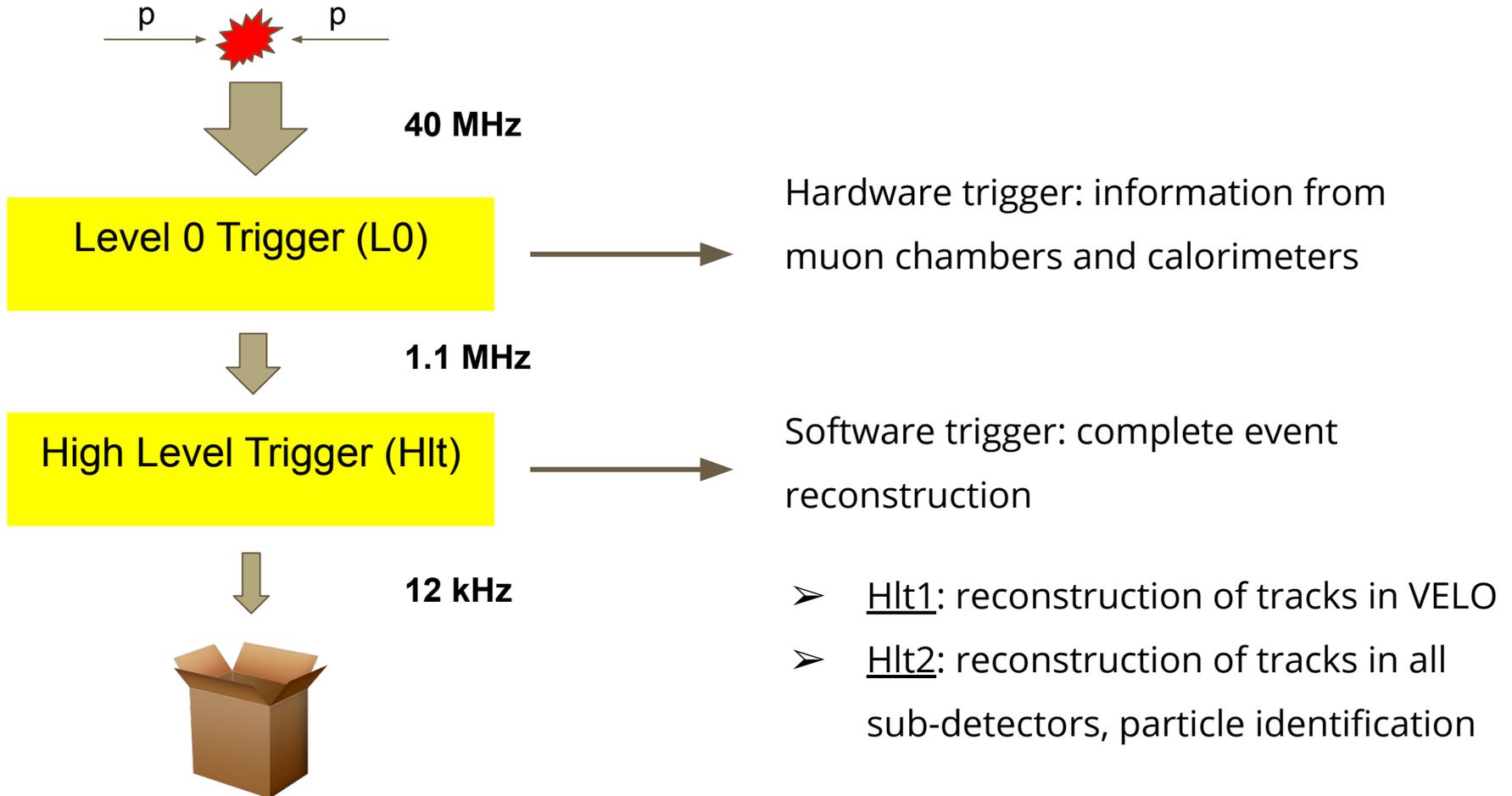
# The LHCb experiment

- ❖ Single-arm forward spectrometer designed for the study of particles containing b or c quarks



# The Run 2 LHCb trigger

- ❖ Trigger: select only interesting events to save them on disk



# $A^{CP}$ in $D^0 \rightarrow K_S^0 K_S^0$

- ❖ In  $D^0 \rightarrow K_S^0 K_S^0$  decay channel amplitudes are suppressed  
 $\rightarrow A^{CP}$  could be enhanced at a level of  $\sim 1\%$  [PRD 92 \(2015\) 054036](#)
- ❖ Provides independent information on CPV: sensitive to a different mix of CP-violating amplitudes w.r.t.  $D^0 \rightarrow K^+ K^-$  and  $D^0 \rightarrow \pi^+ \pi^-$  [PRD 85 \(2012\) 034036](#)

$$\text{B.R. } (D^0 \rightarrow K_S^0 K_S^0) = (1.8 \pm 0.4) \times 10^{-4}$$

## Previous measurements

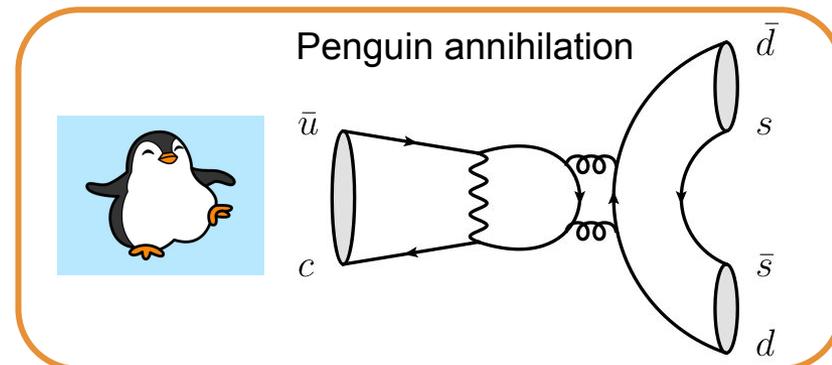
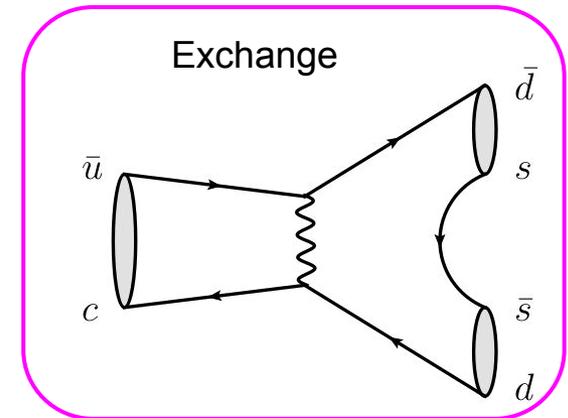
$A^{CP}(K_S^0 K_S^0)(\%)$	Collaboration
$-23 \pm 19$	CLEO
$-2.9 \pm 5.2 \pm 2.2$	LHCb Run 1
$0.02 \pm 1.53 \pm 0.17$	Belle
$4.3 \pm 3.4 \pm 1.0$	LHCb 2015+2016

CLEO [PRD 63 \(2001\) 071101](#)

LHCb (Run1) [JHEP 10 \(2015\) 055](#)

LHCb (2015+2016) [JHEP 11 \(2018\) 048](#)

Belle [PRL 119 \(2017\) 171801](#)

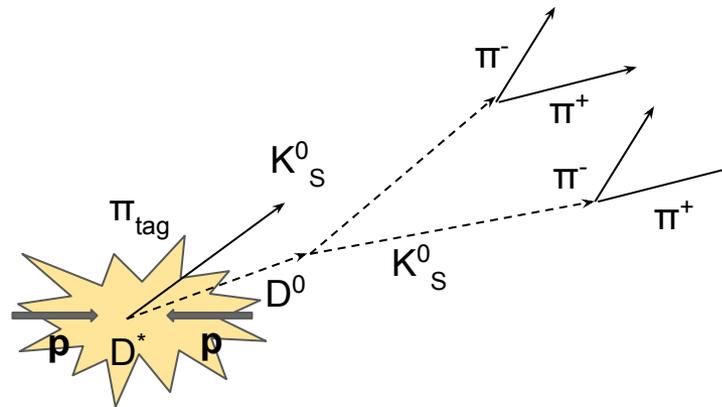


# Measurement methodology

- ❖ Time-integrated measurement. Quantity that we want to measure:

$$\mathcal{A}^{CP}(f) = \frac{\Gamma(D \rightarrow f) - \Gamma(\bar{D} \rightarrow f)}{\Gamma(D \rightarrow f) + \Gamma(\bar{D} \rightarrow f)}$$

- ❖ Quantity measured in LHCb  $\rightarrow \mathcal{A}^{raw} \equiv \frac{N_{D^0} - N_{\bar{D}^0}}{N_{D^0} + N_{\bar{D}^0}}$



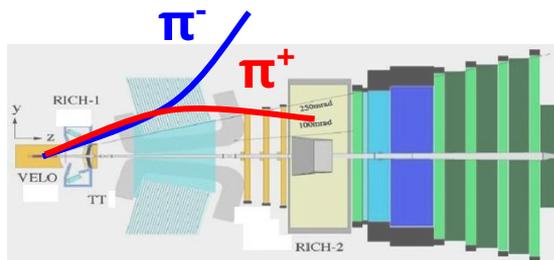
- ❖  $D^{*+} \rightarrow D^0\pi^+$  decay used to tag  $D^0$
- ❖  $K_S^0$  reconstructed in the  $\pi^+\pi^-$  final state

# Measurement methodology (2)

Production asymmetry: initial state pp is not CP symmetric

$$A^{raw} \approx A^{CP} + A^{prod} + A^{det}$$

Asymmetric detector acceptance + material interaction different for particles/antiparticles



❖ To remove production and detection asymmetries  $D^0 \rightarrow K^+K^-$  is used as a

calibration channel  $\rightarrow A^{CP}(K_S^0 K_S^0) = \Delta A^{raw} + A^{CP}(K^+K^-)$



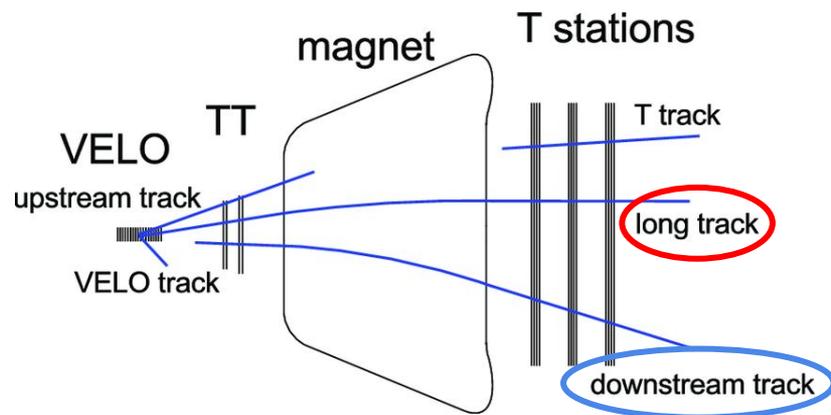
Independently measured by LHCb on Run1 dataset with a precision of  $\sim 0.1\%$  [PLB767\(2017\)177](#)

# $D^0 \rightarrow K_S^0 K_S^0$ @ LHCb

- ❖  $K_S^0$  are difficult to select at trigger level
  - $\tau(K_S^0) = 0.9 \times 10^{-10} \text{ s}$ ,  $\langle \beta\gamma c\tau \rangle \sim 200 \text{ cm}$
  - $K_S^0$  decays often outside vertex detector acceptance
  - No dedicated trigger in LHCb Run1
  - Great step forward made in Run2!

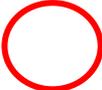
## ❖ Data samples collected in 2017-2018 ( $\sim 3.9 \text{ fb}^{-1}$ )

- **LL** sample: both  $K_S^0$  reconstructed from **Long** tracks
- **LD** sample: one  $K_S^0$  is **Long** and the other one is **Downstream**
- **DD** sample: both  $K_S^0$  reconstructed from **Downstream** tracks (new: not present in 2015+2016!)

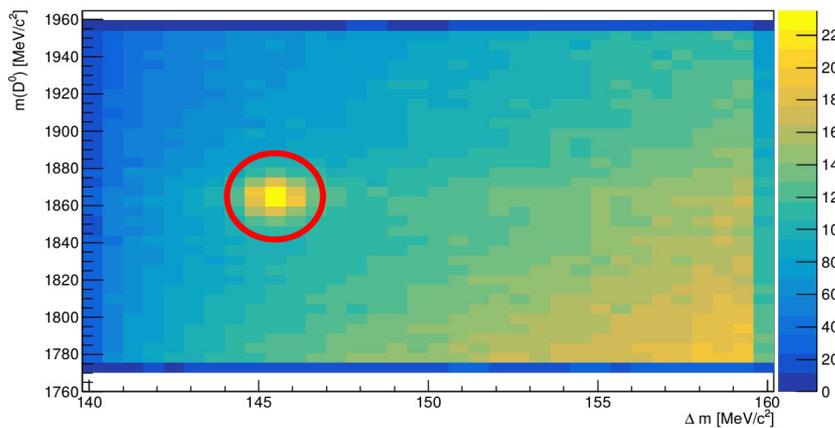


# First look at the data

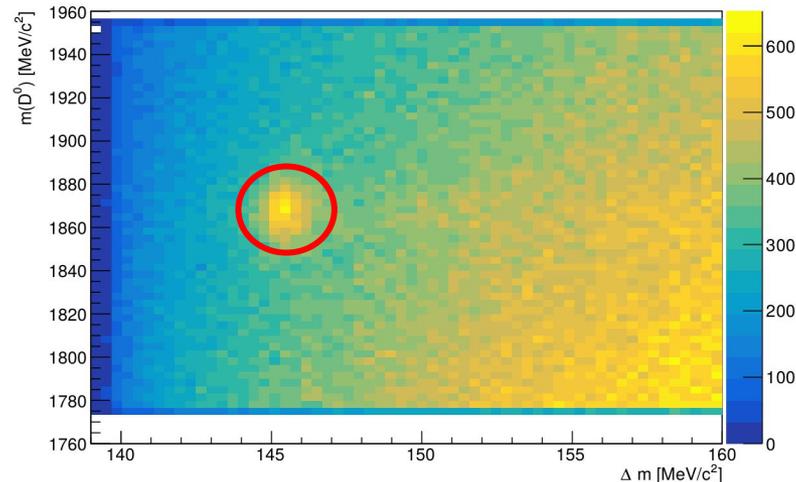
$$\Delta m = m(D^{*\pm}) - m(D^0)$$

 = signal region

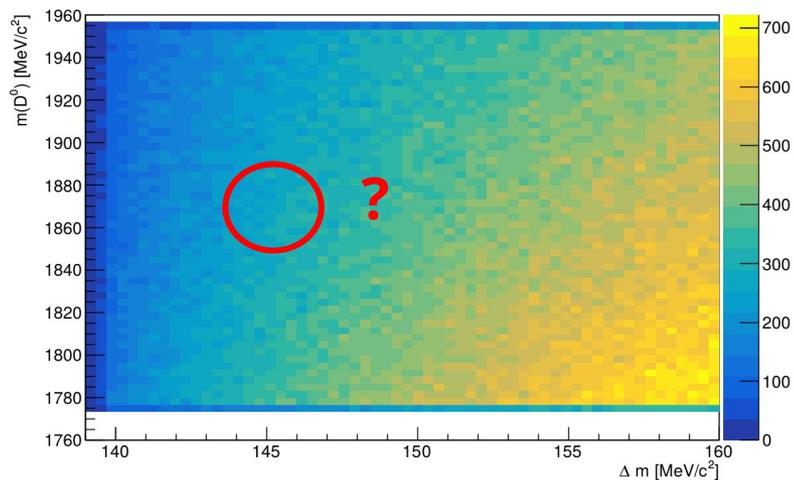
LL



LD

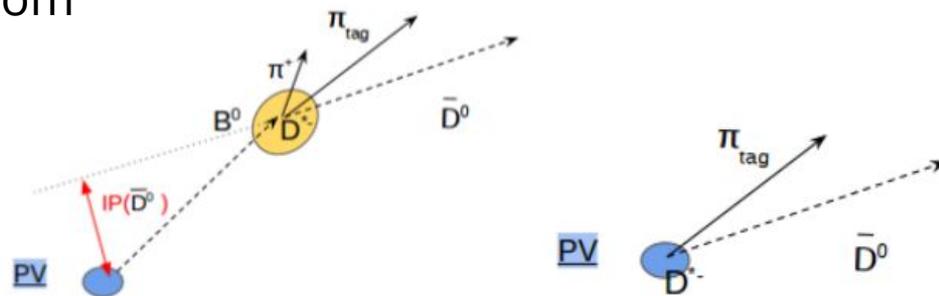
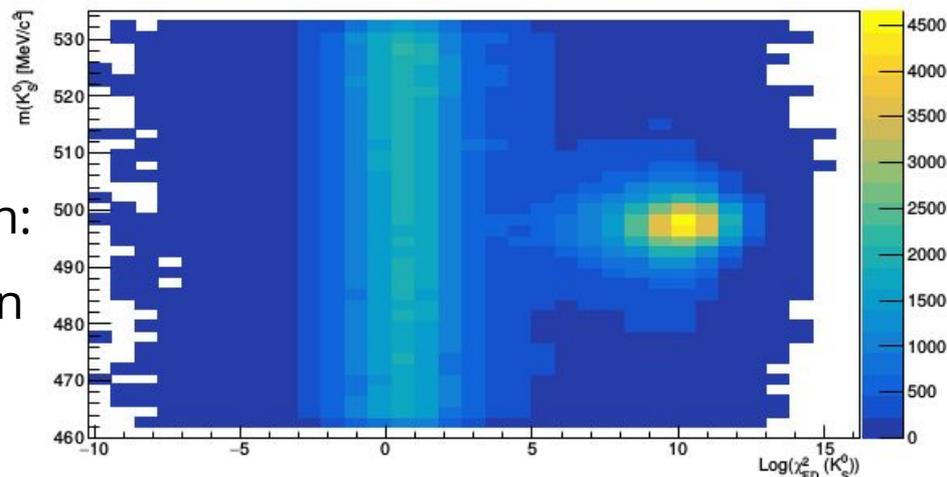


DD



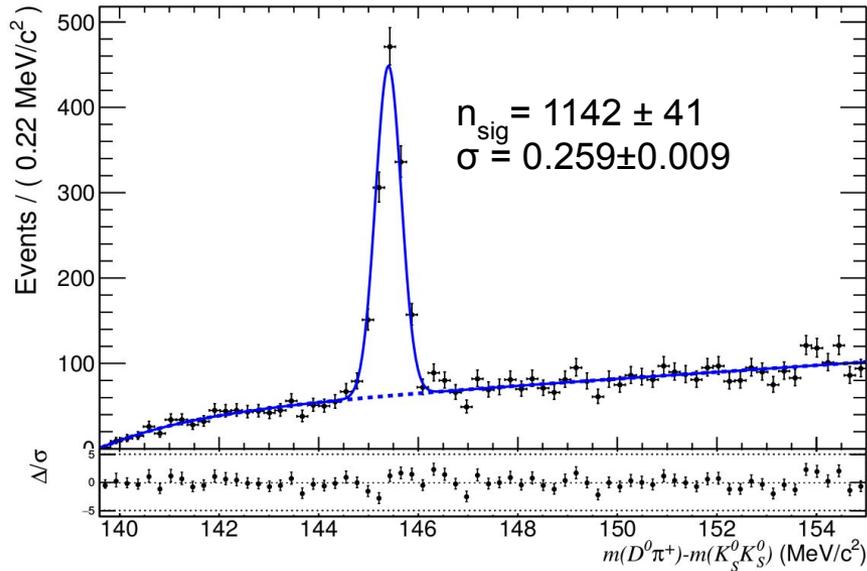
# Main background sources

- ❖ To extract event  
→ fit to  $\Delta m = m(D^{*\pm}) - m(D^0)$
- ❖ Background peaking in  $\Delta m$  distribution:
  - $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ : reduced with cut on  $K_S^0$  flight distance
  - **Secondary decays**:  $D^*$  coming from a b-hadron decay and not from primary interaction. Reduced with cuts on impact parameter.
- ❖ **Combinatorial** background not peaking in  $\Delta m$  distribution
  - Reduced cutting on kinematic variables

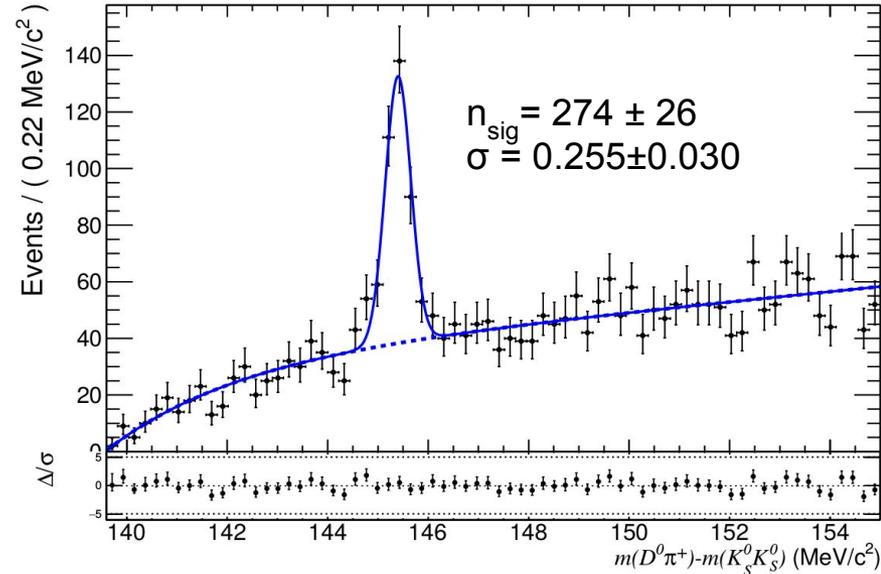


# Yields after selection (2017 data)

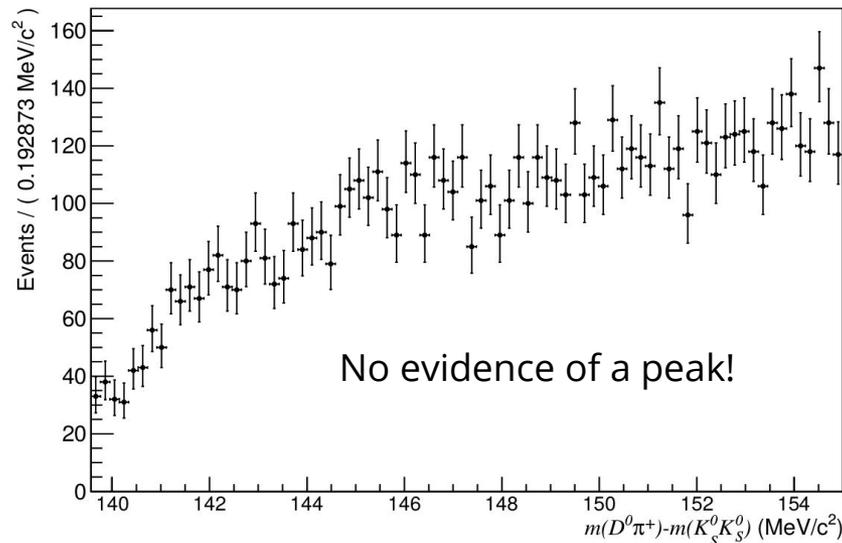
LL



LD

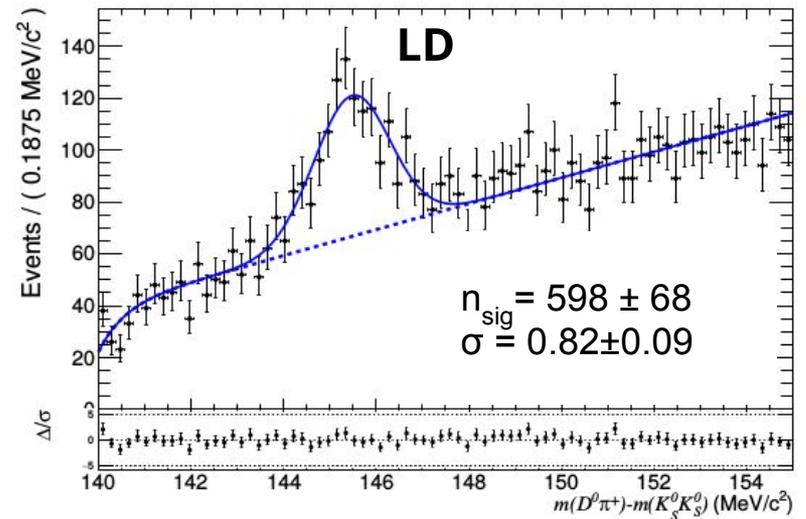
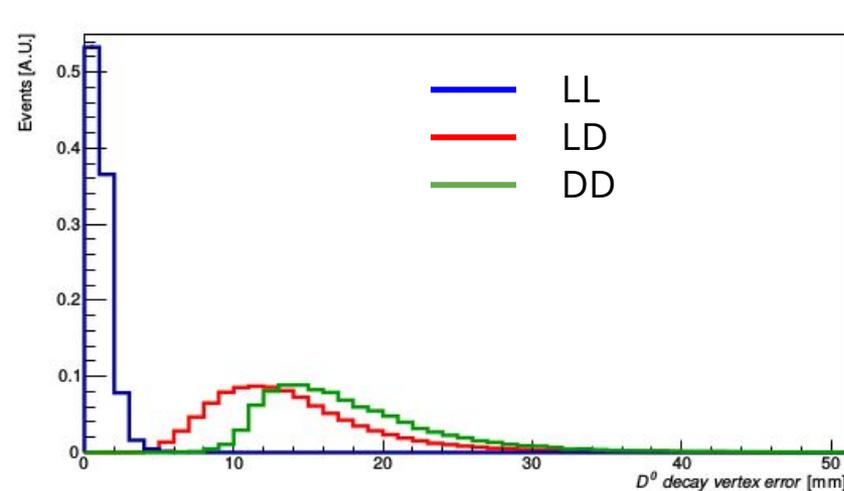


DD



# A different selection approach

- ❖ Expected LD/LL ratio  $\sim 2$ , but observed one  $\sim 0.25 \rightarrow$  why so small?
- ❖  $\langle \beta\gamma c\tau \rangle$  of a b-hadron decaying into a  $D^*$  and contaminating this sample is  $\sim 10$  mm
  - Almost impossible to distinguish between primary and secondary decays in LD and DD sample
  - Cuts applied to remove secondary decays are likely to reduce also signal
- ❖ Idea: remove those cuts in the sample
  - Seems promising  $\rightarrow$  possibility to double the signal in the sample, but need to take into account the possible bias introduced to the measured  $A^{CP}$  by secondary decays



# Prospects with current data

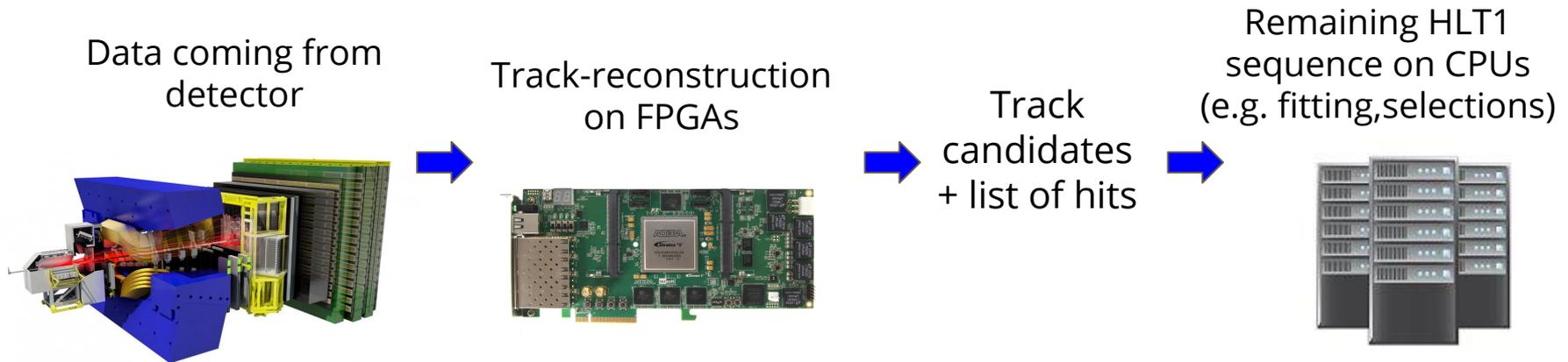
- ❖ In 2017-2018: doubled 2015+2016 integrated luminosity
- ❖ Some improvements have been applied to the trigger
  - Now sensitive also to events where both  $K_S^0$  are downstream!
- ❖ Investigating the possibility to re-optimize the selection w.r.t the presented analysis to gain more statistics
- ❖ **The final sensitivity from LHCb Run 2 is expected to be in the 1.6%–1.8% range**
- ❖ Interesting and feasible measurement that I plan to bring to publication as an outcome for my thesis, but it is not likely to show an effect yet
  - Very important to start focusing on Run 3 already and try to maximize the amount of charm that will be collected !

# The Run 3 of LHCb

- ❖ New data will arrive in 2021 with an almost completely new detector and trigger system
  - Instantaneous luminosity will increase by a factor of 5 ( $2 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$ )
  - Software reconstruction of tracks in real time at LHC crossing frequency (30 MHz on average)
  
- ❖ Because of the limited time-budget available, it is possible that some mitigation strategies need to be adopted
  - they will have a negative impact on the amount of charm samples collected and thus on the program of CPV measurements in the next run

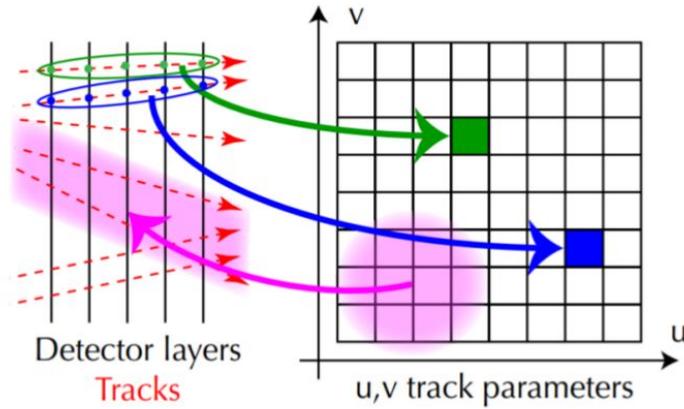
# Reconstruction of track candidates using FPGA

- ❖ Most of the computing time is being employed in highly repetitive and parallelizable track-reconstruction tasks
  - Idea: offload parts of these reconstruction to an FPGA-based system, operating in a pipeline before the events are loaded in the CPU farm

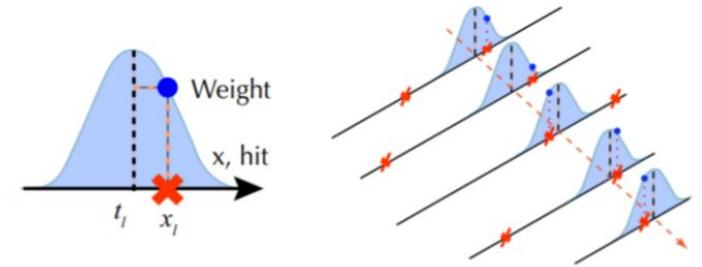


- ❖ Reconstruction of the VELO is the first step in the HLT1 sequence and it burns ~50% of the available CPU-time budget
  - I have been responsible for developing a standalone software emulator and using it to configure, optimize and evaluate the physics performance of the pattern recognition algorithm to be implemented in FPGA

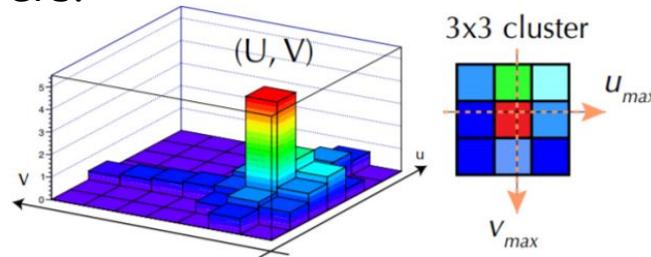
# The “artificial retina” algorithm



Parameter space divided into cells. Each cells correspond to a pattern. Receptors: interception of pattern tracks with detector layers.



Distance hit- receptor is calculated. A weight is assigned to each distance and for each cell all the weights are summed (excitation level)



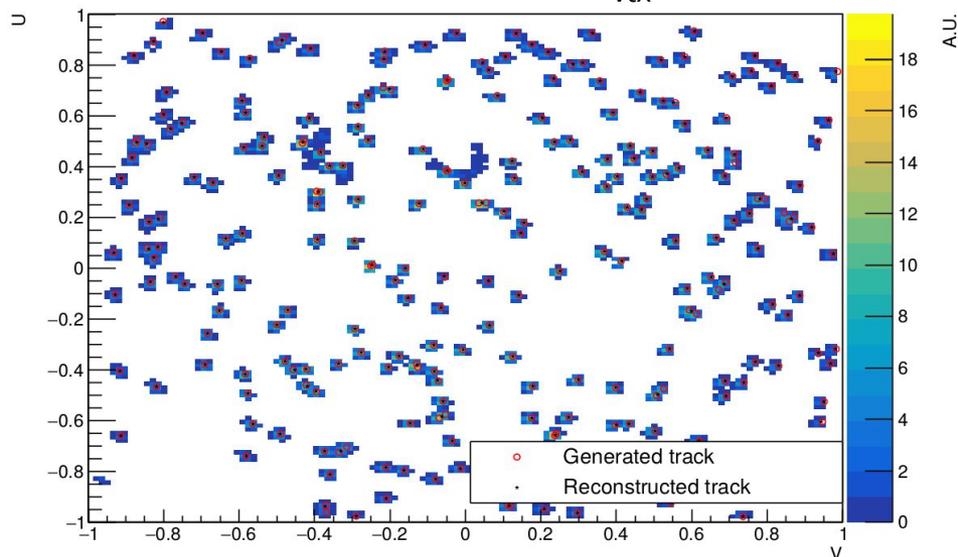
Search of local maxima over a threshold → reconstructed tracks

**Everything is executed in parallel!**

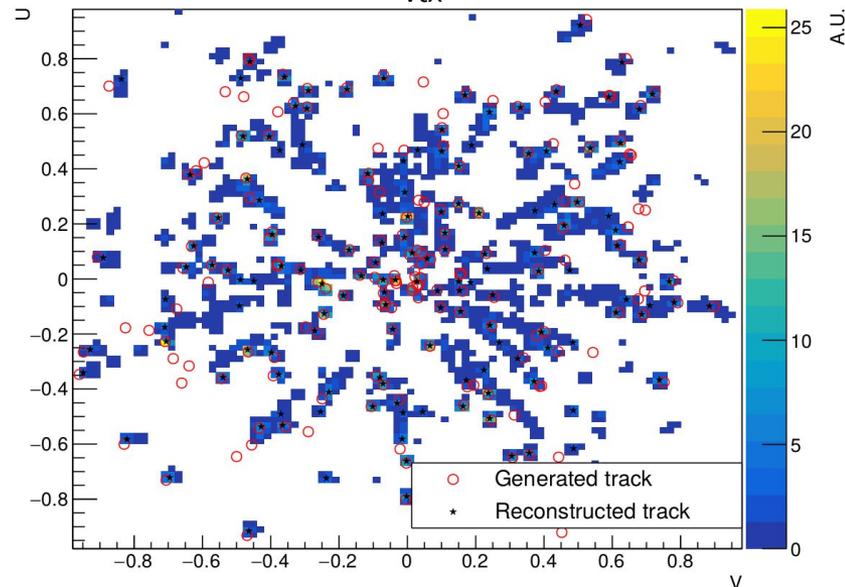
# Distribution of excitation levels

- $u, v$  are the  $x, y$  interception with a fixed layer

Tracks coming from  $z_{\text{vtx}} = 0$  mm



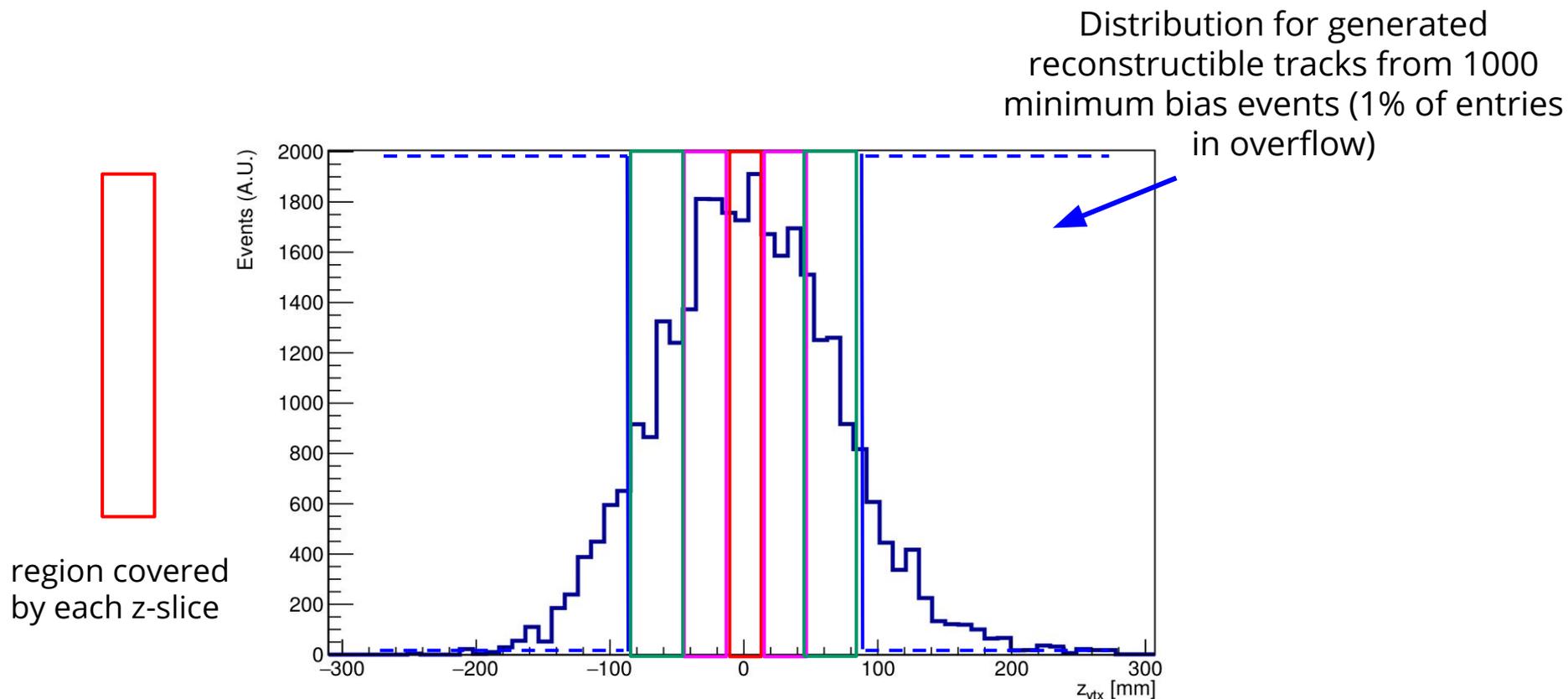
Tracks from minimum bias sample  
 $-200 \text{ mm} < z_{\text{vtx}} < 200 \text{ mm}$



- Spread of  $z$  causes deformation of clusters  $\rightarrow$  efficiency loss
- For this reason we use multiple retinas, segmenting along  $z$
- Defined a fiducial region in which we expect the best performance
  - $2 < \eta < 5$ ,  $-15 \text{ cm} < z_{\text{vtx}} < +15 \text{ cm}$

# Currently used configuration

- 7 z-slices centered in: 12 cm, -7 cm, -3 cm, 0 cm, 3 cm, 7 cm, 12 cm



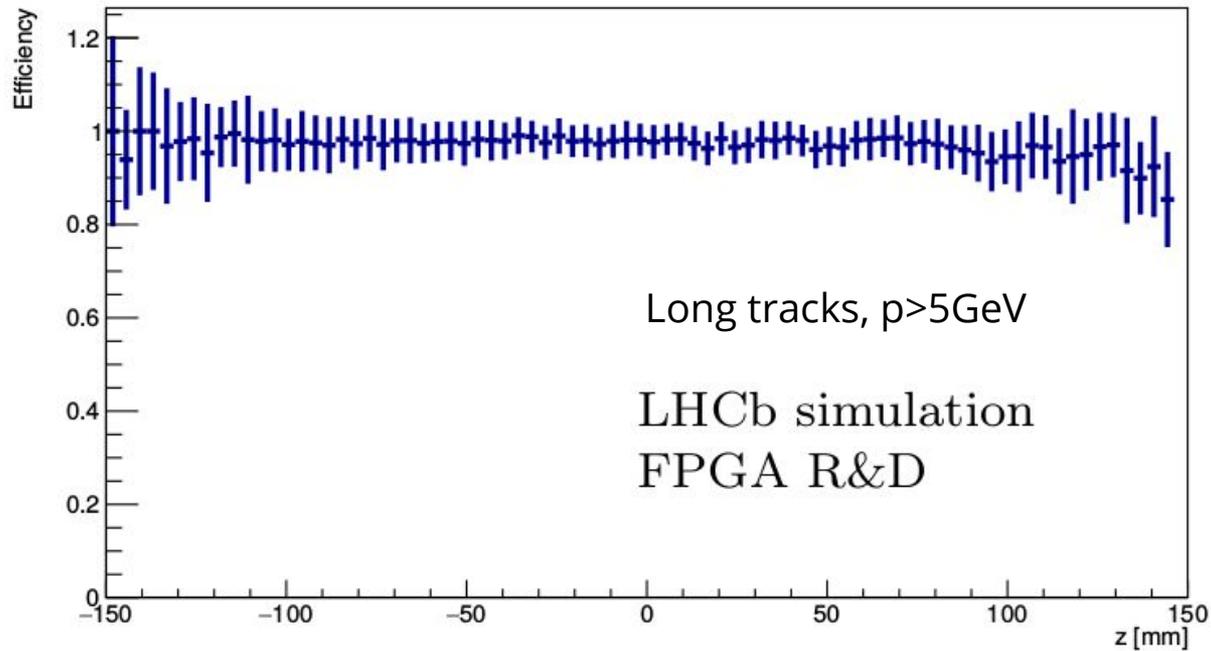
# Performance obtained

- Performance obtained on 1000  $B_s \rightarrow \Phi\Phi$  simulated events (Run 3 luminosity)

Track type		CPU pat-reco (%)	FPGA pat-reco (%)		FPGA – CPU (%)	
			all z	fiducial region	all z	fiducial region
Long with cut on $p$	$\varepsilon$	$99.64 \pm 0.03$	$95.25 \pm 0.10$	$99.84 \pm 0.02$	$-4.39 \pm 0.10$	$+0.20 \pm 0.03$
Long from $b$ with cut on $p$	$\varepsilon$	$99.34 \pm 0.13$	$92.33 \pm 0.44$	$99.66 \pm 0.10$	$-7.01 \pm 0.46$	$+0.32 \pm 0.17$
Long from $c$ with cut on $p$	$\varepsilon$	$99.74 \pm 0.25$	$93.84 \pm 0.71$	$99.74 \pm 0.16$	$-5.90 \pm 0.75$	$+0.00 \pm 0.30$

- In the fiducial z region, CPU and FPGA pat-reco efficiencies are almost indistinguishable**
- However, integrating over all z, we are missing between 4% and 7% of tracks.
- algorithm works very well, but with our 7 slices we are missing some acceptance, and we should redo the exercise with more/wider slices (and reoptimize cell size)

# Performance obtained (2)



# Summary

- ❖ CPV in  $D^0 \rightarrow K_S^0 K_S^0$  decays using 2017 and 2018 data collected by LHCb
  - Preliminary selection applied to estimate yields in the sample
  - Alternative selection approach to maximize the number of signal events currently under study
  - Written all the code and routines necessary to extract the asymmetry
  - To do: finalize selection and evaluate systematics

# Summary

- ❖ Development of an innovative hardware tracking device aimed at collecting even larger and better samples in the upcoming Run 3
  - Implemented a standalone software emulator and used it to configure, optimize and evaluate the physics performance of the pattern recognition algorithm to be implemented on FPGA
  - To do: fine-tune algorithm configuration to maximize performance

# Backup slides

# $A^{CP}$ in $D^0 \rightarrow K_S^0 K_S^0$

$$\mathcal{A}^{\text{dir}} = \text{Im} \frac{\lambda_b}{(\lambda_s - \lambda_d)} \text{Im} \begin{matrix} A_b \\ A_{sd} \end{matrix}$$

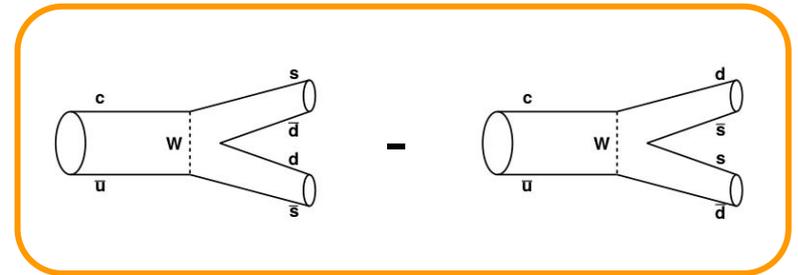
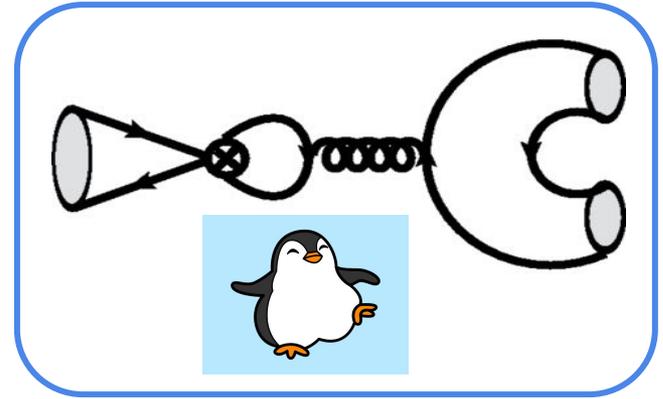
$$\lambda_q \equiv V_{cq}^* V_{uq}$$

$$\sim 6 \times 10^{-4}$$

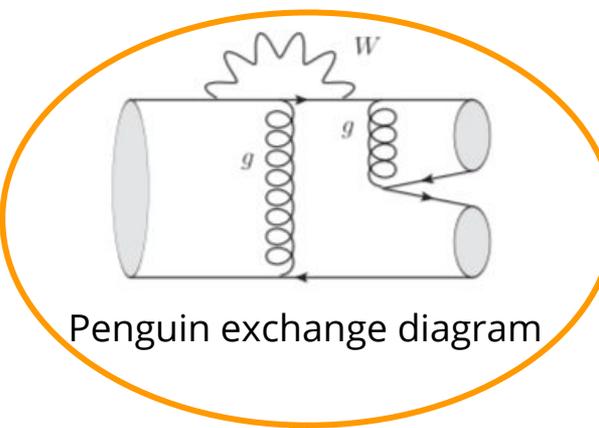
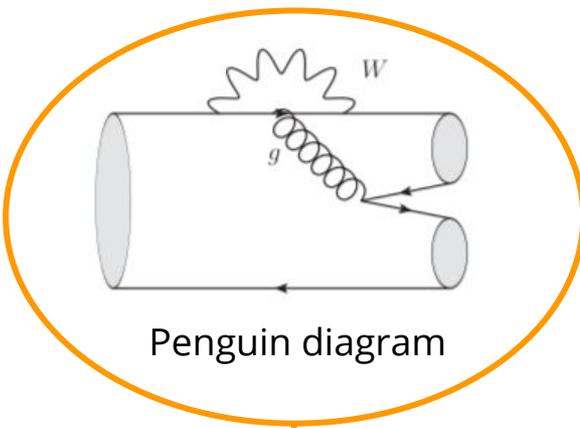
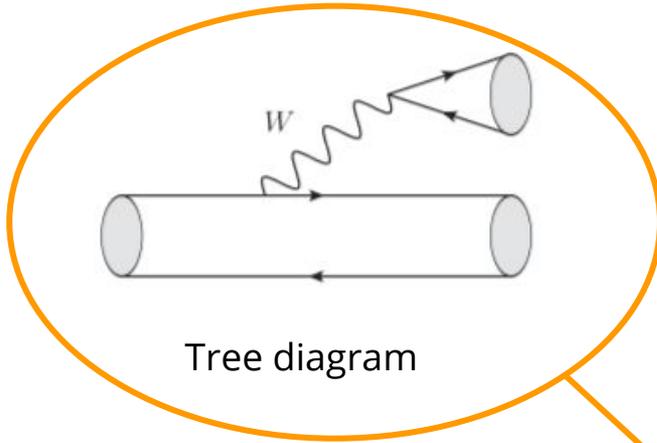
- ❖  $A_{sd} = 0$  in the SU(3) flavour symmetry
- ❖  $A_b$  small ma  $\neq 0$  in the SU(3) flavour symmetry
- ❖ **CPV could be as large as 1%**

inputs to

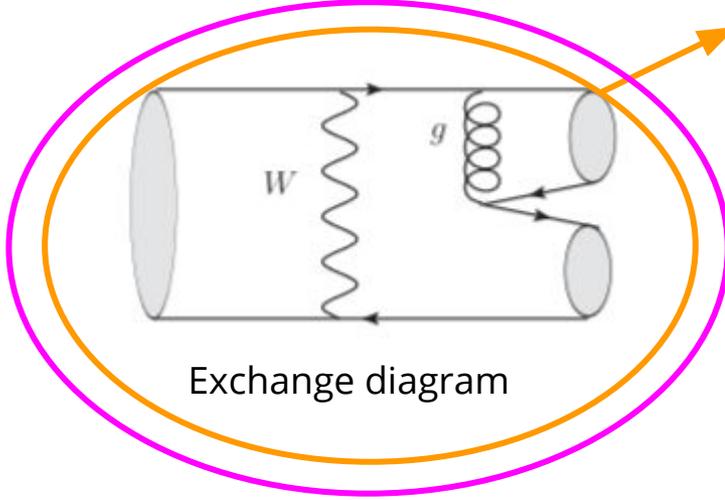
inputs to



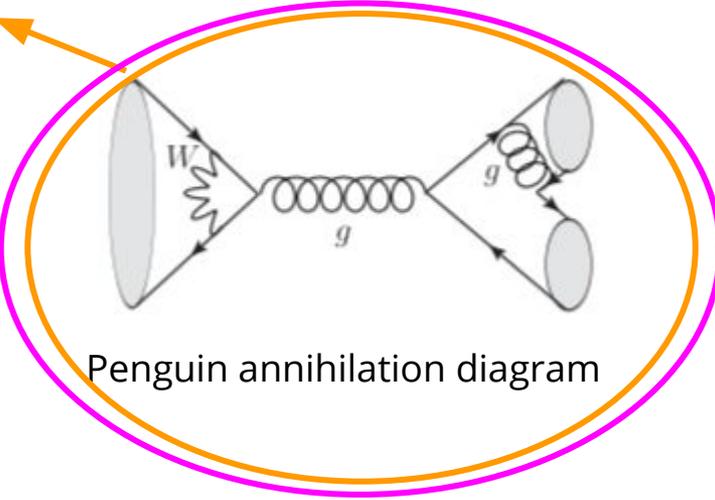
# $D^0 \rightarrow K_S^0 K_S^0$ vs $D^0 \rightarrow K^+ K^-$ and $D^0 \rightarrow \pi^+ \pi^-$



$D^0 \rightarrow K^+ K^-$ ,  
 $D^0 \rightarrow \pi^+ \pi^-$



$D^0 \rightarrow K_S^0 K_S^0$



# Fit function

Signal described with a Gaussian distribution

$$f(x) \propto \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left[-\frac{1}{2} \left(\frac{x - \mu}{\sigma}\right)^2\right]$$

Background described with an empirical threshold function

$$B(x) \propto \{1 - \exp[-c(x - m_\pi)]\} + b(x - m_\pi)$$